

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of Mt. Sterling

PHA Number: KY020

PHA Fiscal Year Beginning: (mm/yyyy) 01/01/2001

PHA Plan Contact Information:

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Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ Main administrative office of the local, county or State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

PHA Programs Administered:

- ☐ Public Housing and Section 8 ☐ Section 8 Only ☒ Public Housing Only

Annual PHA Plan Fiscal Year 2001

[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Contents	<u>Page #</u>
Annual Plan	
ii. Annual Plan Information	
iii. Table of Contents	1
iv. Executive Summary	2
1. Description of Policy and Program Changes for the Upcoming Fiscal Year	8
2. Capital Improvement Needs	8
3. Demolition and Disposition	8
4. Homeownership: Voucher Homeownership Program	9
5. Crime and Safety: PHDEP Plan	10
6. Other Information:	10
A. Resident Advisory Board Consultation Process	10
B. Statement of Consistency with Consolidated Plan	11
C. Criteria for Substantial Deviations and Significant Amendments	12
Attachments	
<input checked="" type="checkbox"/> Attachment A : Supporting Documents Available for Review	
<input checked="" type="checkbox"/> Attachment A1: Capital Fund Program Annual Statement	
<input checked="" type="checkbox"/> Attachment A2: Capital Fund Program 5 Year Action Plan	
<input type="checkbox"/> Attachment A2A: Capital Fund Program Replacement Housing Factor Annual Statement	
<input checked="" type="checkbox"/> Attachment A3: Public Housing Drug Elimination Program (PHDEP) Plan	
<input checked="" type="checkbox"/> Attachment A4: Resident Membership on PHA Board or Governing Body	
<input checked="" type="checkbox"/> Attachment A5: Membership of Resident Advisory Board or Boards	
<input type="checkbox"/> Attachment A6: Comments of Resident Advisory Board or Boards & Explanation of PHA Response (must be attached if not included in PHA Plan text)	
<input type="checkbox"/> Other (List below, providing each attachment name)	

ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

EXECUTIVE SUMMARY

HOUSING AUTHORITY OF MT. STERLING

The Housing Authority of Mt. Sterling has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

We have adopted the following mission statement to guide the activities of the Housing Authority of Mt. Sterling.

MISSION STATEMENTS

HOUSING AUTHORITY OF MT. STERLING, KY

1. The mission of the Housing Authority of Mt. Sterling is to promote adequate, affordable housing, economic opportunity, and a suitable living environment for the families we serve, without discrimination.
2. The Housing Authority of Mt. Sterling is committed to providing quality, affordable housing in a safe environment. Through partnerships with our residents and other groups we will provide opportunities for those we serve to become self-sufficient.
3. The mission of the Housing Authority of Mt. Sterling is to be the community's affordable housing of choice. We provide and maintain safe, quality housing in a cost-effective manner.
4. The Housing Authority of Mt. Sterling is committed to providing quality, affordable housing and services in an efficient manner.
5. The mission of the Housing Authority of Mt. Sterling is to serve the citizens of Mt. Sterling by:
 - Providing affordable housing opportunities in a safe environment.
 - Revitalizing and maintaining neighborhoods.
 - Forming effective partnerships to maximize social and economic opportunities.

The mission shall be accomplished by a fiscally responsible organization committed to excellence in public service.

6. Our mission is to provide quality housing to eligible people in a professional, fiscally prudent manner and be a positive force in our community by working with others to assist these families with appropriate supportive services.
7. The mission of the Housing Authority of Mt. Sterling is to assist low-income families with safe, decent, and affordable housing opportunities as they strive to achieve self-sufficiency and improve the

quality of their lives. The Housing Authority of Mt. Sterling is committed to operating in an efficient, ethical, and professional manner. The Housing Authority of Mt. Sterling will create and maintain partnerships with its clients and appropriate community agencies in order to accomplish this mission.

8. The mission of the Housing Authority of Mt. Sterling is to be the leader in making excellent affordable housing available for low and moderate-income persons through effective management and the wise stewardship of public funds. We will also partner with our residents and others to enhance the quality of life in our communities.
9. The Housing Authority of Mt. Sterling is committed to excellence in offering quality affordable housing options and opportunities for the residents of Mt. Sterling.
10. The Housing Authority of Mt. Sterling is committed to building better neighborhoods by providing comprehensive housing opportunities for qualified individuals and families through creative and professional service in partnership with the greater community.

We have also adopted the following goals and objectives for the next five years.

GOALS AND OBJECTIVES

MANAGEMENT ISSUES

Goals

1. Manage the Housing Authority of Mt. Sterling's existing public housing program in an efficient and effective manner thereby qualifying as at least a standard performer.
2. Manage the Housing Authority of Mt. Sterling in a manner that results in full compliance with applicable statutes and regulations as defined by program audit findings.

Objectives

1. HUD shall recognize the Housing Authority of Mt. Sterling as a high performer by December 31, 2004.
2. The Housing Authority of Mt. Sterling shall make our public housing units more marketable to the community as evidenced by an increase in our waiting list to one that requires a three-month wait for housing by December 31, 2004.
3. By December 31, 2001, the Housing Authority of Mt. Sterling shall have a waiting list of sufficient size so we can fill our public housing units within 21 days of them becoming vacant.
4. The Housing Authority of Mt. Sterling shall increase the percentage of rents collected from 95% to 98% by December 31, 2002.
5. The Housing Authority of Mt. Sterling shall achieve and sustain an occupancy rate of 97% by December 31, 2004.
6. The Housing Authority of Mt. Sterling shall promote a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.

7. The Housing Authority of Mt. Sterling shall implement its asset management plan no later than December 31, 2002.

EXPANSION OF THE STOCK ISSUES

Goals

1. Adapt the Housing Authority of Mt. Sterling's housing stock and program resources to more closely meet the housing needs and markets identified in our needs assessment.
2. Assist our community increase the availability of affordable, suitable housing for families in the very-low income range, cited as a need in our Consolidated Plan.

Objectives

1. The Housing Authority of Mt. Sterling shall assist five (5) families move from renting to homeownership by December 31, 2004.
2. The Housing Authority of Mt. Sterling shall develop at least one (1) unit of housing for people with special needs by December 31, 2004.
3. Locate at least one partner, non-profit or for-profit, locally or nationally-based. This partner will work with us on the acquisition, improvement and/or development of additional housing opportunities for this target group.

MARKETABILITY ISSUES

Goals

1. Enhance the marketability of the Housing Authority of Mt. Sterling's public housing units.
2. Make public housing the affordable housing of choice for the very low-income residents of our community.

Objectives

1. The Housing Authority of Mt. Sterling shall achieve a level of customer satisfaction that gives the agency the highest score possible in this element of the Public Housing Assessment System.
2. The Housing Authority of Mt. Sterling shall remove all graffiti within 24 hours of discovering it by December 31, 2001.
3. The Housing Authority of Mt. Sterling shall achieve proper curb appeal for its public housing developments by improving its landscaping, keeping its grass cut, making the properties litter-free and other actions by December 31, 2001.
4. The Housing Authority of Mt. Sterling shall become a more customer-oriented organization.

SECURITY ISSUES

Goals

1. Provide a safe and secure environment in the Housing Authority of Mt. Sterling's public housing developments.
2. Improve resident and community perception of safety and security in the Housing Authority of Mt. Sterling's public housing developments.

Objectives

1. The Housing Authority shall reduce crime in its developments by 50% by December 31, 2004.
2. The Housing Authority shall reduce crime in its developments so that the crime rate is less than their surrounding neighborhood by December 31, 2004.
3. The Housing Authority shall refine the memorandum of understanding between the jurisdiction's police force and this agency. The purpose of this is to better define the "edge problem" of crime that occurs near our developments and develop strategies for identifying and reducing this problem.
4. The Housing Authority shall reduce its evictions due to violations of criminal laws by 25% by December 31, 2004, through aggressive screening procedures.
5. The Housing Authority shall attract 8 police officers to live in its developments by December 31, 2004.

MAINTENANCE ISSUES

Goals

1. Maintain the Housing Authority of Mt. Sterling's real estate in a decent, safe and sanitary condition.
2. Deliver timely and high quality maintenance service to the residents of the Housing Authority of Mt. Sterling.

Objectives

1. The Housing Authority of Mt. Sterling shall have all of its units in compliance with the Housing Code by December 31, 2004.
2. The Housing Authority of Mt. Sterling shall revise and update its Preventative Maintenance plan by December 31, 2000.
3. The Housing Authority of Mt. Sterling shall create an appealing, up-to-date environment in its developments by December 31, 2004.
4. The Housing Authority of Mt. Sterling shall achieve and maintain an average response time of one (1) hour in responding to emergency work orders by December 31, 2000.
5. The Housing Authority of Mt. Sterling shall achieve and maintain an average response time of two (2) days in responding to routine work orders by December 31, 2000.

EQUAL OPPORTUNITY ISSUES

Goals

1. Use the resident-based assistance program to expand housing opportunities beyond areas of traditional low-income and minority concentration.
2. Operate the Housing Authority of Mt. Sterling in full compliance with all Equal Opportunity laws and regulations.
3. The Housing Authority of Mt. Sterling shall ensure equal treatment of all applicants, residents, employees, and vendors.

Objectives

1. The Housing Authority of Mt. Sterling shall mix its public housing development populations as much as possible ethnically, racially, and income wise as much as possible.

FISCAL RESPONSIBILITY ISSUES

Goals

1. Ensure full compliance with all applicable standards and regulations including government generally accepted accounting practices.
2. Reduce dependency on federal funding.

Objectives

1. The Housing Authority of Mt. Sterling shall operate so that income exceeds expenses every year.
2. The Housing Authority of Mt. Sterling shall implement an effective anti-fraud program by December 31, 2000.
3. The Housing Authority of Mt. Sterling shall maintain its operating reserves of at least \$250,000.00 between now and December 31, 2004.
4. The Housing Authority of Mt. Sterling shall maintain its current level of operating costs for three (3) years despite inflation.
5. The Housing Authority of Mt. Sterling will reduce its dependence on HUD by raising \$20,000.00 from non-HUD sources by December 31, 2004.
6. The Housing Authority of Mt. Sterling shall raise funds from one (1) non-HUD source by December 31, 2004.

PUBLIC IMAGE ISSUES

Goals

1. Enhance the image of public housing in our community.

Objectives

1. The Housing Authority shall ensure that there are at least two (2) positive stories a year in the local media about the Housing Authority of Mt. Sterling or one of its residents.

SUPPORTIVE SERVICE ISSUES

Goals

1. Improve access of public housing residents to services that support economic opportunity and quality of life.
2. Improve economic opportunity (self-sufficiency) for the families and individuals who reside in our housing.

Objectives

1. The Housing Authority of Mt. Sterling will implement one (1) new partnerships in order to enhance services to our residents by December 31, 2004.
2. All Housing Authority of Mt. Sterling residents will get off TANF in their allotted time period without a penalty.
3. The Housing Authority of Mt. Sterling shall ensure that at least one (1) supportive service opportunity is present for every public housing resident by December 31, 2004.
4. The Housing Authority of Mt. Sterling shall have effective, fully functioning resident organization by December 31, 2002.
5. The Housing Authority of Mt. Sterling shall assist five (5) families voluntarily move from assisted to unassisted housing by December 31, 2004.
6. The Housing Authority of Mt. Sterling, working with its partners, shall ensure that 50% of its TANF residents are working or engaged in job training by December 31, 2001.

Our Annual Plan is based on the premise that if we accomplish our goals and objectives we will be working towards the achievement of our mission.

The plans, statements, budget summary, policies, etc. set forth in the Annual Plan all lead towards the accomplishment of our goals and objectives. Taken as a whole, they outline a comprehensive approach towards our goals and objectives and are consistent with the Consolidated Plan. Here are a just a few of the highlights of the Agency Plan for the Housing Authority of Mt. Sterling:

- The Housing Authority of Mt. Sterling has adopted an aggressive screening policy for public housing to ensure to the best of our ability that all new admissions will be good neighbors. Our screening policies will meet all applicable Fair Housing requirements.
- The Housing Authority of Mt. Sterling has adopted a viable deconcentration policy.
- All applicants will be selected from the waiting list by preference and in the order of the date and time they applied.

- The Housing Authority of Mt. Sterling established a minimum rent of \$50.00.
- We have established flat rents for all public housing developments.
- In an attempt to encourage work and advancement in the workplace, the Housing Authority of Mt. Sterling will not require interim recertifications for 90 days if a resident has an increase in income.

In summary, we are on course to improve the condition of affordable housing in Mt Sterling.

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

No changes in Policy or Program proposed for Upcoming Fiscal Year

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. ☒ Yes ☐ No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ **418,216.00**

C. ☒ Yes ☐ No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment A(2)

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment A(1)

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3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C.

1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description – Not Applicable

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: Not applicable 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

- A. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- ☐ Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources

- ☐ Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- ☐ Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. ☒ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ **49,497.00**
- C. ☒ Yes ☐ No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.
- D. ☒ Yes ☐ No: The PHDEP Plan is attached at Attachment A3.

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. ☐ Yes ☒ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are Attached at Attachment (File name)
3. In what manner did the PHA address those comments? (select all that apply)
- ☐ The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
☐ Yes ☐ No: below or
☐ Yes ☐ No: at the end of the RAB Comments in Attachment ____.
- ☐ Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment ____.
- ☒ Other: (list below)

No comments received during hearing or comment period.

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (**Commonwealth of Kentucky**)
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - ☐ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - ☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - ☐ Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
 - ☐ Other: (list below)
3. PHA Requests for support from the Consolidated Plan Agency
 - ☐ Yes ☒ No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

From the 2000-2001 Interim Consolidated Plan for Kentucky:

Federal statutes governing these programs communicate three basic goals by which HUD evaluates performance under the plan. This Consolidated Plan announces Kentucky's strategy for pursuing these three goals, which are:

1. DECENT HOUSING

- **Helping homeless persons obtain affordable housing;**
- **Assisting persons at risk of becoming homeless;**
- **Retaining affordable housing stock;**
- **Increasing the availability of affordable permanent housing in standard condition to low-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status or disability;**

- **Increasing the supply of supportive housing which includes structural features and services to enable persons with special needs to live in dignity and independence; and**
- **Providing affordable housing that is accessible to job opportunities.**

2. A SUITABLE LIVING ENVIRONMENT

- **Improving the safety and livability of neighborhoods;**
- **Increasing access to quality public and private facilities and services;**
- **Reducing the isolation of income groups within areas through spatial deconcentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods;**
- **Restoring and preserving properties of special historic, architectural or aesthetic value; and**
- **Conserving energy resources.**

3. EXPANDED ECONOMIC OPPORTUNITIES

- **Job creation and retention;**
- **Establishment, stabilization and expansion of small businesses, including microbusinesses;**
- **Provision of public services concerned with employment;**
- **Provision of jobs to low-income persons living in areas affected by those programs and activities, or jobs resulting from carrying out activities under programs covered by the plan;**
- **Availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices;**
- **Access to capital and credit for development activities that promote the long-term economic and social viability of communities; and**
- **Empowerment and self-sufficiency for low-income persons to reduce generational poverty in federally assisted housing and public housing.**

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan: No substantial deviation proposed based upon the following definition of “Substantial Deviation” from the 5 Year Action Plan. As per Notice PIH-99-33 the Housing Authority of Mt. Sterling has defined “substantial deviation” as any action alters any goal and/or objective as set out above.

B. Significant Amendment or Modification to the Annual Plan: No significant amendment or modification to Annual Plan proposed based upon the following listed actions which would be considered to be “significant amendments or modifications”

- 1. changes to rent or admissions policies or organization of the waiting list;**
- 2. additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;**
- 3. additions of new activities not included in the current PHDEP Plan; and**
- 4. any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.**

C. Criteria for Substantial Deviation and Significant Amendments:

- 1. changes to rent or admissions policies or organization of the waiting list;**
- 2. additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;**
- 3. additions of new activities not included in the current PHDEP Plan; and**
- 4. any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.**

Attachment A

Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary) Safety Category only.	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
N/A	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
X	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Resident Community Service Requirements	On display per guidance in PIH-2000-43

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/**

PHA Name: Housing Authority of Mt. Sterling

Grant Type and Number

Capital Fund Program: X

Capital Fund Program

Replacement Housing Factor Grant No:

☒ Original Annual Statement☐ Reserve for Disasters/ Emergencies ☐ Revised A☐ Performance and Evaluation Report for Period Ending:☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		
		Original	Revised	
1	Total non-CFP Funds			
2	1406 Operations	\$41,150.00		
3	1408 Management Improvements	\$0.00		
4	1410 Administration	\$20,575.00		
5	1411 Audit	\$0.00		
6	1415 liquidated Damages	\$0.00		
7	1430 Fees and Costs	\$20,575.00		
8	1440 Site Acquisition	\$0.00		
9	1450 Site Improvement	\$229,200.00		
10	1460 Dwelling Structures	\$100,000.00		
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00		
12	1470 Nondwelling Structures	\$0.00		
13	1475 Nondwelling Equipment	\$0.00		
14	1485 Demolition	\$0.00		
15	1490 Replacement Reserve	\$0.00		
16	1492 Moving to Work Demonstration	\$0.00		
17	1495.1 Relocation Costs	\$0.00		
18	1498 Mod Used for Development	\$0.00		
19	1502 Contingency	\$0.00		
20	Amount of Annual Grant: (sum of lines 2-19)	\$411,500.00		
21	Amount of line 20 Related to LBP Activities	\$0.00		
22	Amount of line 20 Related to Section 504 Compliance	\$0.00		
23	Amount of line 20 Related to Security	\$10,000.00		
24	Amount of line 20 Related to Energy Conservation Measures	\$13,200.00		

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/
Part II: Supporting Pages**

[illegible]

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/

Part III: Implementation Schedule

PHA Name: Housing Authority of Mt. Sterling		Grant Type and Number Capital Fund Program #: Capital Fund Program Replacement Housing Factor #:					Federal
Development Number Name/HA-Wide Activities	All Fund Obligated (Quart Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide	09/30/01			12/31/01			
HA-Wide	09/30/01			12/31/01			
HA-Wide	09/30/01			12/31/01			
KY20-1	06/30/01			12/31/01			
KY20-1	06/30/01			12/31/01			
KY20-1	06/30/01			12/31/01			
KY20-1	06/30/01			12/31/01			
KY20-1	06/30/01			12/31/01			
KY20-1	06/30/01			12/31/01			
KY20-2	06/30/01			12/31/01			

Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement		
Development Number: KY20-2	Development Name: Manual Tipton Court (or indicate PHA wide)	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Concrete replacement	\$2,500.00	
2. Water line replacement	\$10,000.00	
3. Sewer line replacement	\$35,000.00	
4. Replacement of aluminum trim where needed	\$6,000.00	
5. Balcony repair.	\$25,000.00	
6. Replace concrete sidewalks, curbs, gutters	\$8,250.00	
7. Replace range hoods	\$3,600.00	
8. Install doors to furnace rooms	\$3,600.00	
9. Replace floor tile/ceramic tile	\$14,000.00	
10. Replace existing furnaces/thermostats	\$18,000.00	
Total estimated cost over next 5 years	\$125,950.00	

CFP 5-Year Action Plan		
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		
Development Name: KY20-3 (or indicate PHA wide)		
Whitledge Heights		
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)

1. Replace concrete sidewalks/curbs/gutters	\$20,000.00	
2. Trim/remove trees throughout site	\$4,800.00	
Total estimated cost over next 5 years	\$24,800.00	

CFP 5-Year Action Plan		
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		
Development Name: KY20-4 (or indicate PHA wide)		
Whitledge Heights Addition		
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Repave parking in elderly section	\$10,000.00	
2. Rebuilt wheelchair ramps to meet current code	\$1,500.00	
3. Replace wood garbage screens	\$16,500.00	
4. Address erosion problems (family section)	\$5,000.00	
5. Install handrails where required in elderly section	\$4,000.00	
6. Replace old and dead shrubs	\$9,600.00	
7. Replace sewer lines in two buildings	\$10,000.00	
8. Replace electric meter bases and SE cable	\$26,400.00	
9. Replace guttering and downspouts	\$33,000.00	
10. Tuck pointing, brick replacement	\$1,000.00	
11. Replace concrete porch slabs in family section	\$8,000.00	
12. Replace exterior porch lighting	\$4,500.00	
13. Replace rotten wood siding/soffit with vinyl	\$55,000.00	
14. Replace entry doors in elderly section	\$39,000.00	
15. Refinish screen doors both sides	\$13,500.00	
16. Replace exterior water spigots	\$4,500.00	
17. Bathroom upgrades	\$96,000.00	
18. Install GFI outlets in kitchen/bathroom	\$18,000.00	
19. Replace through the wall furnaces	\$27,000.00	
20. Replace water heaters	\$18,000.00	
21. Install new vinyl flooring	\$59,000.00	
Total estimated cost over next 5 years	\$459,500.00	
CFP 5-Year Action Plan <input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		

Development Name: KY20-5 (or indicate PHA wide)			
Mary Ray Trimble Apartments			
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)	
1. Picnic tables/benches outside for recreation	\$2,000.00		
2. Kitchen upgrade	\$48,000.00		
3. Replace carpeting/pad	\$33,600.00		
4. Replace light fixtures	\$7,200.00		
5. Replace hallway doors	\$15,000.00		
6. Install emergency lighting system per code	\$5,000.00		
7. Re-design/install trash compactor system	\$50,000.00		
8. Replace old washers and dryers	\$3,600.00		
Total estimated cost over next 5 years	\$164,400.00		

CFP 5-Year Action Plan			
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement			
Development Name: KY20-8 (or indicate PHA wide)			
Scattered Site Units			
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)

<p>317 Kasandra Way</p> <ol style="list-style-type: none"> 1. Replace vinyl siding & soffit 2. Foundation repairs 3. Replace screen doors 4. Replace windows 5. Kitchen upgrade 6. Bathroom upgrade 7. Replace interior doors 8. Install central air 9. Reconstruct attached storage building <p>102 Rose Court</p> <ol style="list-style-type: none"> 1. Replace windows 2. Replace existing porch, steps & handrail 3. Bathroom upgrade 4. Kitchen upgrade 5. Replace flooring/base 6. Replace entry doors and thresholds 7. Install central air <p>105 Azalea Court – Units A & B</p> <ol style="list-style-type: none"> 1. Correct erosion problems 2. Retaining wall around parking space 3. Tree removal 4. Install new porch steps 5. Replace windows 6. Install vinyl soffit and trim 7. Replace gable vents 8. Replace existing central air – Unit A 9. Replace furnace – Unit B 10. Replace sliding closet doors & framing 	<p>\$1,500.00</p> <p>\$500.00</p> <p>\$300.00</p> <p>\$2,100.00</p> <p>\$1,700.00</p> <p>\$2,000.00</p> <p>\$1,400.00</p> <p>\$4,000.00</p> <p><u>\$2,500.00</u></p> <p>\$16,000.00</p> <p>\$2,500.00</p> <p>\$3,000.00</p> <p>\$2,000.00</p> <p>\$1,700.00</p> <p>\$1,800.00</p> <p>\$1,500.00</p> <p><u>\$4,000.00</u></p> <p>\$16,500.00</p> <p>\$500.00</p> <p>\$5,000.00</p> <p>\$250.00</p> <p>\$300.00</p> <p>\$4,900.00</p> <p>\$3,000.00</p> <p>\$500.00</p> <p>\$2,000.00</p> <p>\$1,000.00</p> <p><u>\$3,200.00</u></p> <p>\$20,650.00</p>
<p>Total estimated cost over next 5 years</p>	
<p align="center">CFP 5-Year Action Plan</p> <p><input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement</p>	

Development Name: KY20-8 (or indicate PHA wide)			
Scattered Site Units			
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start D (HA Fiscal Year)	
513 Alexa Drive – Units A & B			
1. Construct new concrete driveways	\$6,000.00		
2. Backfill/grade to correct water problems	\$1,000.00		
3. Install new crawlspace doors	\$500.00		
4. Replace wood decks at rear	\$3,000.00		
5. Install vinyl siding/soffit/trim	\$3,000.00		
6. Replace guttering and downspouts	\$1,000.00		
7. Replace windows	\$4,900.00		
8. Replace rear entry doors	\$1,200.00		
9. Replace screen doors	\$1,000.00		
10. Replace central air	\$8,000.00		
11. Replace water heaters	\$1,000.00		
12. Bathroom upgrade	\$4,000.00		
13. Kitchen upgrade	<u>\$3,400.00</u>		
	\$38,000.00		
Non-dwelling structures:			
1. New maintenance/equipment storage facility	\$225,000.00		
2. Vinyl siding – central office	<u>\$2,500.00</u>		
	\$227,500.00		
Total estimated cost over next 5 years	\$318,650.00		

Total Cost Summary:

1. **KY20-1: Estill Terrace**
\$411,500.00
2. **KY20-2: Manual Tipton Court**
\$125,950.00
3. **KY20-3: Whitledge Heights** \$
24,800.00
4. **KY20-4: Whitledge Heights Addition**
\$459,500.00
5. **KY20-5: Mary Ray Trimble Apts.**
\$164,400.00
6. **KY20-8: Scattered Site Units**
\$318,650.00

Total Costs
\$1,493,800.00

PHA Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Section 1: General Information/History

A. Amount of PHDEP Grant \$ \$57,467.00

B. Eligibility type (Indicate with an "x") N1_____ N2_____ R
X

C. FFY in which funding is requested FY 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

PUBLIC HOUSING DRUG ELIMINATION PROGRAM

HOUSING AUTHORITY OF MT. STERLING

Plan For Addressing the Problem of Drug-Related Crime

Description of how each activity fits with the goals and objectives **over a five-year period:**

The activity to be undertaken by the PHDEP for the Housing Authority of Mt. Sterling is the continuation of activity begun under Public Housing Drug Elimination Program (PHDEP) funding for FY 1998. The activity is the basis for all efforts undertaken by the Housing Authority and the Mt. Sterling Police Department to reduce all criminal activity within the various public housing neighborhoods in the community not just drug related criminal activity. Of course the first goal, when implemented, likewise implements the second goal.

Description of Activity: The program activity is simple in it's approach in reducing criminal activity in public housing developments in the community. Prior year funding under the PHDEP was utilized to reimburse overtime for local police officers to patrol the various public housing developments. Originally plans were to use only members of the Bicycle Patrol but the Departmental Supervisor asked if officers walking on foot patrol could likewise be utilized. For all practical purposes this was the first wide spread use of officers patrolling on foot in our community. We tried this and the results were very good. Using both officers on bicycles and on foot we have been able to provide maximum police department coverage in all developments. Prior to the implementation of the original PHDEP the Mt. Sterling Police Department was only able to provide minimal patrol in the various public housing developments. At best, there was a total of one or two hours of patrol per week for all the five developments and all time was officers patrolling in cars. There was little or no one-on-one contact with the youth living in the neighborhoods. The goals and the objectives of our program was to place as many

officers on foot and on bicycles in as many of our neighborhoods as possible within the constraints of the funding available and the number of officers available for overtime. Another goal was to have the officers in a highly visible mode at times where two groups could see the officers on the beat. The first group we wanted to reach was the youth living in public housing. We wanted to have police “on display” being highly visible to our young people. We wanted our kids to see the officers one-on-one and face-to-face. That is exactly the outcome we have experienced. Police officers have averaged 750 monthly contacts with public housing residents since April, 1999. The impact of this person officer-resident contact has had a tremendous impact. The majority of this contact has been positive in nature rather than adversarial. The other group we wanted the police to be visible to were those persons who would tend to take part in various criminal activity including trafficking in illegal drugs. We do not have any appreciable violent crimes currently occurring in our neighborhoods. Since April, 1999, there have been a total of only eleven (11) arrests/citations in public housing neighborhoods and of these eleven instances only two (2) were for assaults. High visibility of our police officers keeps these types of people off our streets and out of our developments. In further working to achieve the goals of the program the police department tries to have officers the most visible during those times when kids are most likely to see them, that being from the time school ends each day when the busses drop off children in the various neighborhoods until later in the evening when lack of parental supervision becomes a problem and makes the police presence more important than ever. Another time officers are visible is after midnight when local “establishments” close and many from the local drug culture venture forth to do what they do best and that is seek out unsupervised kids to which to sell drugs. It’s always been the pattern in this community for the twenty something criminal element to seek out the teenagers who are out on the streets without adequate parental guidance or supervision. Police are aware of this and make an effort to have patrolling officers out at those times and places where illegal activity is most likely to occur.

Since the current program activity has begun we have an average of 14 different officers on foot or on bicycles in our developments on an average of 10 hours per month for a total of 140 man hours of public housing neighborhood policing each month. This activity has created an average of 750 personal resident contacts each month. For the prior six (6) months there have been a total of 4,490 personal resident contacts by foot patrol and bicycle patrol officers of the Mt. Sterling Police Department funded by the previous year Public Housing Drug Elimination Program (PHDEP). Also during this six-month period there were 189 total instances of direct resident counseling by foot patrol and bicycle patrol officers. To place this program in context of eliminating drug related criminal activity we have recorded zero (0) drug related arrests or citations in any public housing neighborhood in Mt. Sterling. We are not naïve enough to think that only six months of extensive foot and bicycle patrol has completely taken drug use and abuse from our developments but we have seen a reduction to zero of arrests for drug related offenses. During this same period there have been no drug related evictions but for the prior six (6) year period there were a total of 26 documented evictions for drug related criminal activity. By any standards we feel strongly that the program, even as simple as it is in it’s scope, is an unqualified success.

Originally in the FY 1998 PHDEP application the Housing Authority of Mt. Sterling and the Mt. Sterling Police Department had planned for the conversion of a public housing apartment to be used as a police substation. This idea was abandoned within only a few days based upon a request by the Supervising Officer of the PHDEP activity. He felt strongly that the officers needed to be visible and on the streets to be most effective. Housing Authority staff quickly agreed. The Supervisor did not want his officers “hidden” away in an apartment even if it was for just short periods of time. High visibility is the key to the program and will continue to be the key to the program in the future. The community is so small that no definable and measurable results could be determined by having an on-site mini-station. Officers come to the developments fully prepared for every situation and of course back-up assistance is as close as radio or cell phone contact. The very limited funds budgeted for this on-site mini-station will be utilized for reimbursement officer overtime. This program by any measurement is basic in it’s approach, it’s content and it’s intent. Neither the Housing Authority nor the Police Department charge any administrative costs to the project. All funds go directly to the officers on patrol. Our goal has been since the inception of this program to provide a safe and secure environment for all our public housing residents and create a safe and secure environment in which to raise children. Placing police on the streets daily in a highly visible manner works towards that goal.

Going hand-in-hand with this is the fact that several members of the Mt. Sterling Police Department are residents of the various developments. To these officers whom have chosen to live in public housing as part of our overall effort to provide this safe and secure environment, this PHDEP effort takes on special meaning. They are no merely patrolling neighborhoods, they are patrolling their neighborhoods.

AGENCY PLAN

When looking at the proposed PHDEP activity as it relates or supports the goals and objectives of the Agency Planning efforts of the Housing Authority of Mt. Sterling we can see the following.

Mission Statement: Regarding safety and security the Mission Statement of the Agency Plan for the Housing Authority states the following:

1. The mission of the Housing Authority of Mt. Sterling is to promote adequate, affordable housing . . . in a safe living environment for the families we serve.
2. The Housing Authority of Mt. Sterling is committed to providing quality, affordable housing in a safe environment.
3. We provide and maintain safe, quality housing in a cost-effective manner.
4. The mission of the Housing Authority of Mt. Sterling is to serve the citizens of Mt. Sterling by:
 - Providing affordable housing opportunities in a safe environment.
 - Revitalizing and maintaining all neighborhoods.

- Forming effective partnerships to maximize resident opportunities.
7. The mission of the Housing Authority of Mt. Sterling is to assist low-income families with safe, decent, and affordable housing opportunities.
 10. The Housing Authority of Mt. Sterling is committed to building better neighborhoods by providing comprehensive housing opportunities for qualified individuals and families through professional services in partnership with the greater community.

Security Issues:

Goals:

1. **Provide a safe and secure environment in the Housing Authority of Mt. Sterling's public housing developments.**
2. **Improve resident and community perception of safety and security in the Housing Authority of Mt. Sterling's public housing developments.**

Objectives:

1. **The Housing Authority shall reduce all criminal activity in its developments by 50% by December 31, 2004.**
2. **The Housing Authority shall reduce crime in its developments so that crime rates in public housing developments are less than the surrounding neighborhoods by December 31, 2004.**
3. **The Housing Authority shall refine the memorandum of understanding between the Jurisdiction's Police Department and the Housing Authority. The purpose of this is to better serve the residents of public housing neighborhoods and better meet the needs of the population living in these areas.**
4. **The Housing Authority shall reduce evictions due to violations of criminal laws by 25% by December 31, 2004, through aggressive screening procedures.**
5. **The Housing Authority shall attract 15 police officers and their families to live in its developments by December 31, 2004.**

From the above cited passages from the Mission Statement of the Housing Authority of Mt. Sterling and associated goals and objectives of the Agency Plan it can readily be demonstrated that the simple goals of the PHDEP activity is fully supportive of those Safety and Security Issue goals and objectives cited by the Agency Plan. These is no secret or mystical concept about what the continuation of this drug elimination

strategy means to the Housing Authority as it implements the provisions of its Five-Year Agency Plan. We want the developments of the Housing Authority to be safe and secure; a place where anyone would feel good about raising their children. Never again will another resident have to come into the office and say they are moving because they can't raise their children in public housing in Mt. Sterling. Our ongoing PHDEP activity does the following which are all activities and outcomes that support the goals and objectives of the Agency Plan.

1. The PHDEP activity places uniformed police officers on either foot patrol or bicycle patrol on the streets of the various public housing neighborhoods in Mt. Sterling.
2. The uniformed officers are placed in situations and circumstances where they can be highly visible to both the public housing residents, to whom police represent safety and security, and to those persons who would participate in criminal activity, to whom police represent a threat to their "enterprises".
3. The constant vigilance by local police on foot or bicycle patrol lends itself to the overall revitalization of the neighborhoods and hopefully to surrounding non-public housing areas. The net effect will be a better community for everyone.
4. Within the public housing neighborhoods we will attract better residents, working residents, and residents who will be more committed to making their neighborhoods more desirable places to live.

There is no doubt that if crime is eliminated from a neighborhood all other aspects of that neighborhood will improve dramatically. That is the ultimate goal of every public housing authority in the country and that it to improve every public housing neighborhood to a level that there is no social differentiation from other surrounding neighborhoods. We feel that our ongoing efforts to keep police on the beat through the reimbursement for overtime patrolling will go a long way in helping the Housing Authority meet all its goals and objectives as defined in the Five-Year Agency Plan.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area. Unit count information should be consistent with that available in PIC.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
HA-Wide KY20-1 through K20-8	225	400

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

12 Months X 18 Months 24 Months

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. The Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Grant Start Date	Grant Term End Date
FY 1995						
FY 1996						
FY 1997						
FY 1998	X	KY36DEP0200198	\$0.00		10/01/98	09/30/00
FY 1999	X	KY36DEP0200199	\$49,487.00		01/01/01	06/30/01
FY 2000	X	KY36DEP0200200	\$51,575.00		01/01/01	12/31/00

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

See PHDEP Plan above for summary of strategy to address the needs of the target population.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FFY <u>2001</u> PHDEP Budget Summary	
Original statement	
Revised statement dated:	
Budget Line Item	Total Funding
9110 – Reimbursement of Law Enforcement	\$57,467.00
9115 - Special Initiative	
9116 - Gun Buyback TA Match	
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	

9150 - Physical Improvements	
9160 - Drug Prevention	
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	\$57,467.00

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 – Reimbursement of Law Enforcement					Total PHDEP Funding: \$57,467.0		
Goal(s) See Above							
Objectives See Above							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	
1. Patrolling of PHA developments			01/01	12/01	\$57,467	0.00	
2.							
3.							

Required Attachment A4: Resident Member on the PHA Governing Board

1. ☒ Yes ☐ No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Ms. Lurie Wills**

B. How was the resident board member selected: (select one)?

- ☐ Elected
☒ Appointed

C. The term of appointment is (include the date term expires): **04/03/2004**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not? - **N/A**

- ☐ the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
☐ the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
☐ Other (explain):

B. Date of next term expiration of a governing board member: **04/03/01**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

**Honorable Gary Williamson, Mayor
City of Mt. Sterling, KY 40353**

Required Attachment A5: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

- | | | |
|----|-----------------------|-----------|
| 1. | Ms. Lucinda Vancleve: | KY020-001 |
| 2. | Ms. Phyllis Cornwell: | KY020-002 |
| 3. | Mrs. Betty Tipton: | KY020-003 |
| 4. | Ms. Pamela Henderson: | KY020-004 |
| 5. | Ms. Lurie Wills: | KY020-005 |

These individuals agreed to serve after original appeals to residents failed to produce anyone willing to serve. These individuals will serve until 2005 or until they should leave public housing.